

16152 U.S. PTO  
09/19/03

Anticipated Classification  
of this Application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior Application:  
Attorney's Docket No.: 48332-B JPW/AJM  
Examiner: A. DeCloux  
Group Art Unit: 1659

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 19, 2003

S I R:

This is a request for filing a CONTINUATION  DIVISIONAL  
CONTINUATION IN PART application under 37 C.F.R. §1.53(b),  
of pending prior application Serial No. 08/610,220 filed on March 4,  
1996 of

Carol M. Troy inventor(s)

for Compounds Which Prevent Neuronal Cell Death and Uses Thereof  
Title of Invention

1.  Enclosed is a copy of the prior application.
2.  A verified statement to establish small entity status under 37 C.F.R. § 1.27  
\_\_\_\_\_  
is enclosed.  
 was filed in the prior application and such status is still proper and desired.
3.  The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED	NUMBER EXTRA*		RATE			FEE	
				SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	7-20	0	X	\$ 9	\$ 18		\$ 0	\$ 0
Independent Claims	1-3	0	X	\$ 42	\$ 84		\$ 0	\$ 0
Multiple Dependent Claims Presented	Yes	X	No	\$140	\$280		\$ 0	\$ 0
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2				BASIC FEE			\$ 375	\$ 750
				TOTAL FEE			\$ 375.00	

4.  The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 03-3125.

Any additional filing fees required under 37 C.F.R. §1.16.

Any patent application processing fees under 37 C.F.R. §1.17.

5.  Three copies of this sheet are enclosed.

6.  A check in the amount of \$ 375.00 is enclosed.

7.  Cancel claims \_\_\_\_\_.

8.  Amend the specification by inserting before the first line of the following sentence: --This is a continuation of application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, which is a continuation of Serial No. \_\_\_\_\_, filed \_\_\_\_\_, now United States Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

9.  9 Sheet(s) of  informal  formal drawing(s) is/are enclosed.

10.  Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.

11.  Priority of application No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 37 C.F.R. §119.

The certified copy of the priority application has been filed in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

12.  The prior application is assigned of record to The Trustees of Columbia University in the City of New York.

13.  A preliminary amendment is enclosed.

14.  The power of attorney in the prior application is to:

Divisional Application of U.S. Serial No. 08/610,220

Page 3

John P. White (Reg. No. 28,678); Thomas F. Moran, (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,301); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. 36,479); Lewis J. Kreisler (Reg. No. 38,522); Kristina L. Konstas (Reg. 37,869); and Mary Anne P. Tanner (Reg. No. 40,197).

(a)  The power appears in the original papers in the prior application.

(b)  Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

(c)  Address all future correspondence to:

John P. White  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

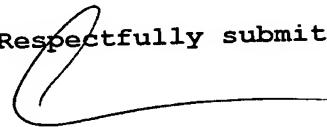
15.  Also enclosed: Express mail Certificate of Mailing No. EV325708646 dated September 19, 2003.

16.  I hereby verify that the attached papers are a true copy of prior application serial No. 08/610,220 as originally filed on March 4, 1996.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 9/19/03

Respectfully submitted,

  
John P. White  
Registration No. 28,678  
Alan J. Morrison  
Registration No. 37,399  
Attorney for Applicant  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Carol M. Troy  
Serial No : Not Yet Known  
Filed : Herewith  
For : COMPOUNDS WHICH PREVENT NEURONAL DEATH AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
September 19, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

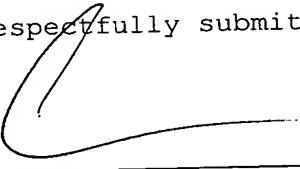
EXPRESS MAIL CERTIFICATE  
OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV 325708646 US  
Date of Deposit: September 19, 2003 I hereby certify that this  
paper or fee is being deposited to the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on  
the date indicated above and is addressed to the Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Printed Name: TRW 15COA



Respectfully submitted,



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Registration No. 37,399  
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